



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Certa et al

Group: 1645

Serial No. 09/994,412, filed November 27, 2001

Examiner:

For: INHIBITION OF EXPRESSION OF A TARGET GENE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110  
August 21, 2002

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A1, B3-B4 and C4 listed on Form PTO-1449 are enclosed.

Enclosed is a Search Report, dated July 5, 2002, which was issued by the UK Patent Office in GB 0128494.2 application corresponding to the captioned application. The Search Report lists Documents A1, B3-B4 and C4.

Consideration of all the documents cited on Form PTO-1449 is requested.

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Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application and/or because each item of information contained in the Information Disclosure Statement was cited in a communication for the first time from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, no fee is due.

Respectfully submitted,



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122988

## Application Number

09/994,412

Filing Date

November 27, 2001

**First Name Inventor**

Certa, Ulrich

Group Art Unit

1645

**Examiner Name**

Attorney Docket Number

20787

of	2
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Examiner  
Initials'

Cite  
No. 1

## U.S. Patent Document

Number

Kind Code<sup>2</sup>  
(if known)

Name of Patentee or Applicant of Cited Document

Date of Publication of  
Cited document  
MM-DD-YYYY

A1

5,814,482

Dubensky Jr., et al

09-29-1998

## FOREIGN PATENT DOCUMENTS

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Cite  
No. 1

## Foreign Patent Document

Office<sup>3</sup>

Number4

Kind Code<sup>5</sup>  
(if known)Name of Patentee or  
Applicant of Cited Document

Date of Publication of  
Cited Document  
MM-DD-YYYY

T6

B2

WO 00/68374

## Novartis-Erfindungen

11/16/2000

B3

WO 99/61631

## Novartis-Erfindungen

12/2/1999

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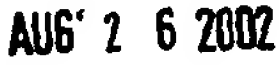
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**Examiner  
Signature**

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**Complete if Known**

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